

# Transcendental properties of entropy-constrained sets

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joint work with Michael M. Wolf

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# (Semi)Algebraic vs Transcendental?

# Functions and Sets

(semi) algebraic

$$f(x) = y \Leftrightarrow \text{poly}(x, f(x)) = 0$$

transcendental

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Nash manifolds

Nash maps

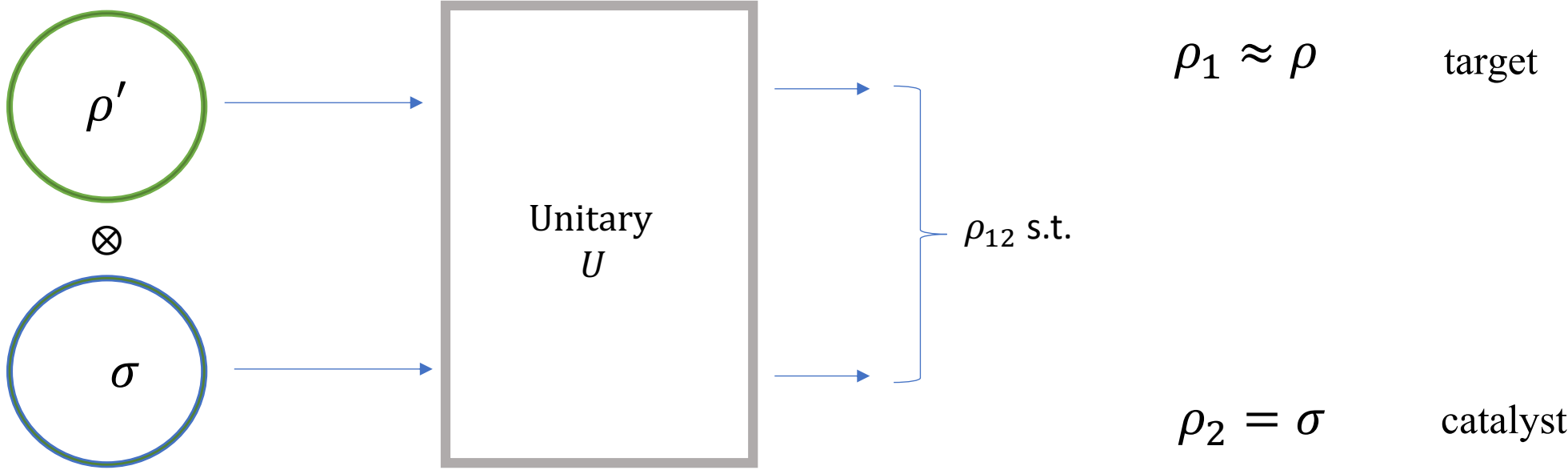
single-shot / finite

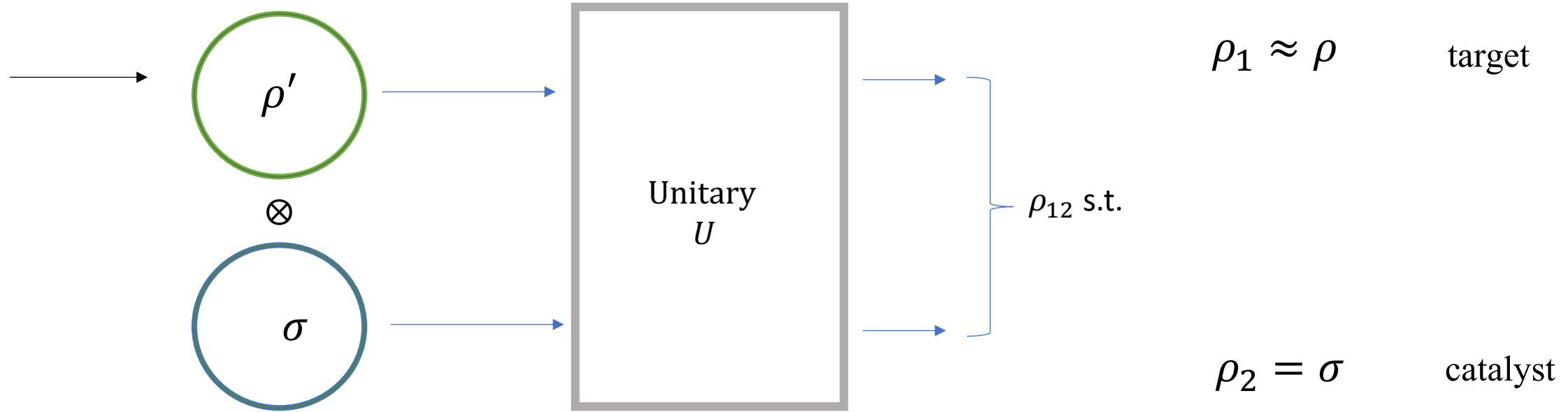
transcendental

$$\exp(x), \ln(x)$$

asymptotic / infinite

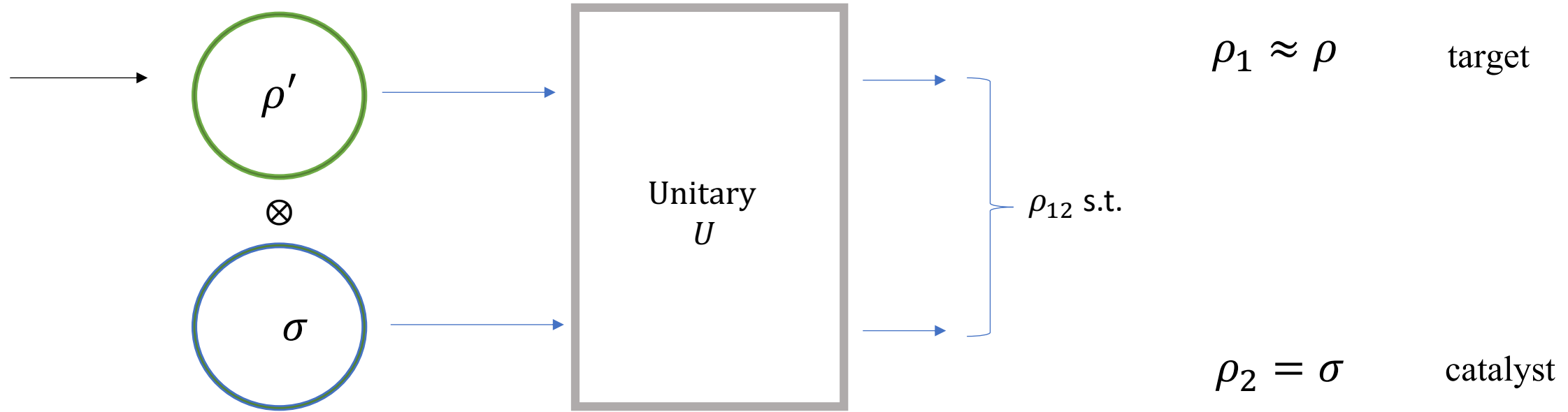
# Catalytic state transformations [Wilming et al, 2019]





$$\mathcal{S}_n := \{ \rho \in \mathbb{C}^d \text{ s.t. } \forall \varepsilon > 0 \exists \sigma \in \mathbb{C}^n \text{ and a unitary } U \in \mathbb{C}^d \otimes \mathbb{C}^n \text{ s.t. the reduced states of } \rho_{12} := U(\rho' \otimes \sigma)U^* \text{ satisfy } \rho_2 = \sigma \text{ and } \|\rho_1 - \rho\|_1 \leq \varepsilon \}$$

# Catalytic state transformations [Wilming et al, 2019]

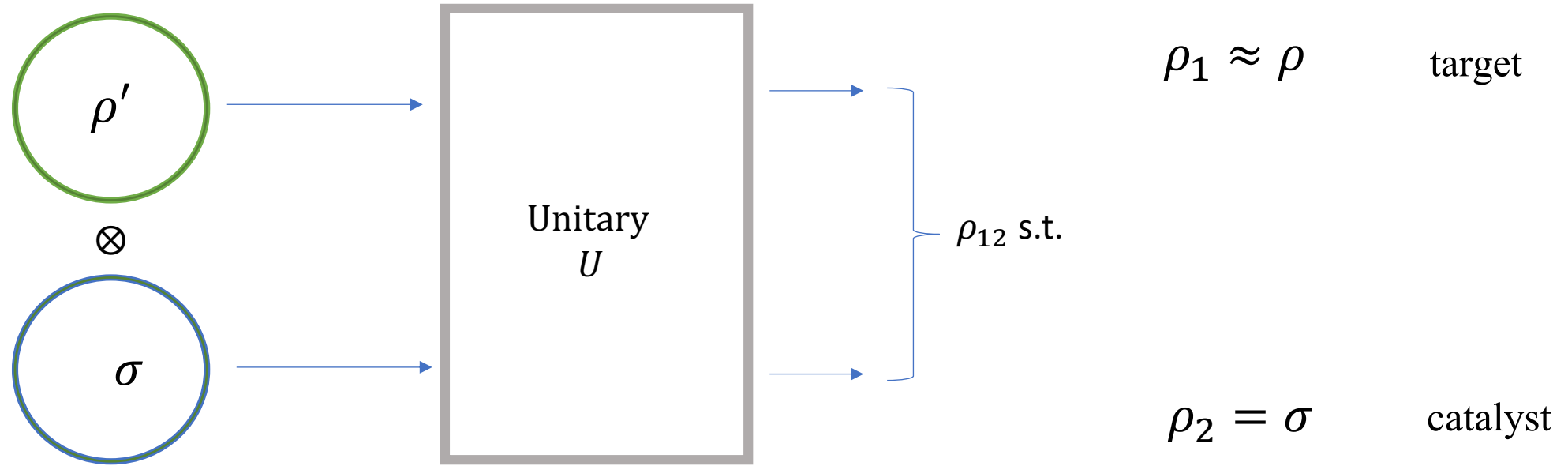


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$\mathcal{S} := \{\rho \in \mathbb{C}^d \text{ such that } S(\rho) \geq S(\rho')\}$

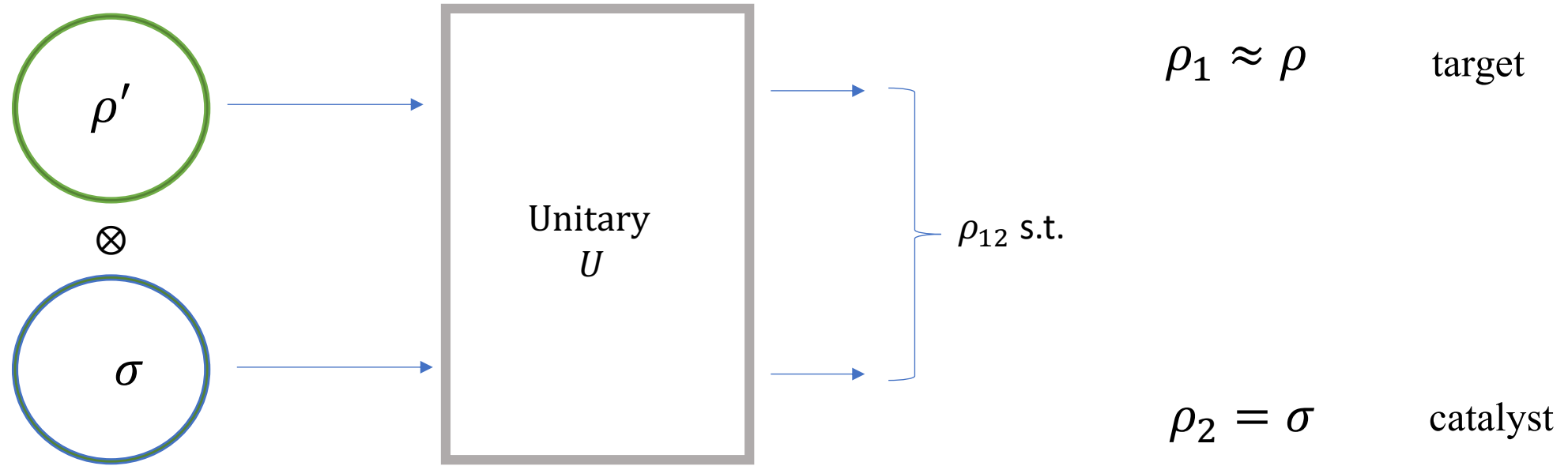
$$S(\rho) := -\text{tr}[\rho \ln \rho]$$





$\lim_{n \rightarrow \infty} S_n = S$  but is there a finite  $n = n(d)$  such that  $S_n = S$ ?

# Catalytic state transformations

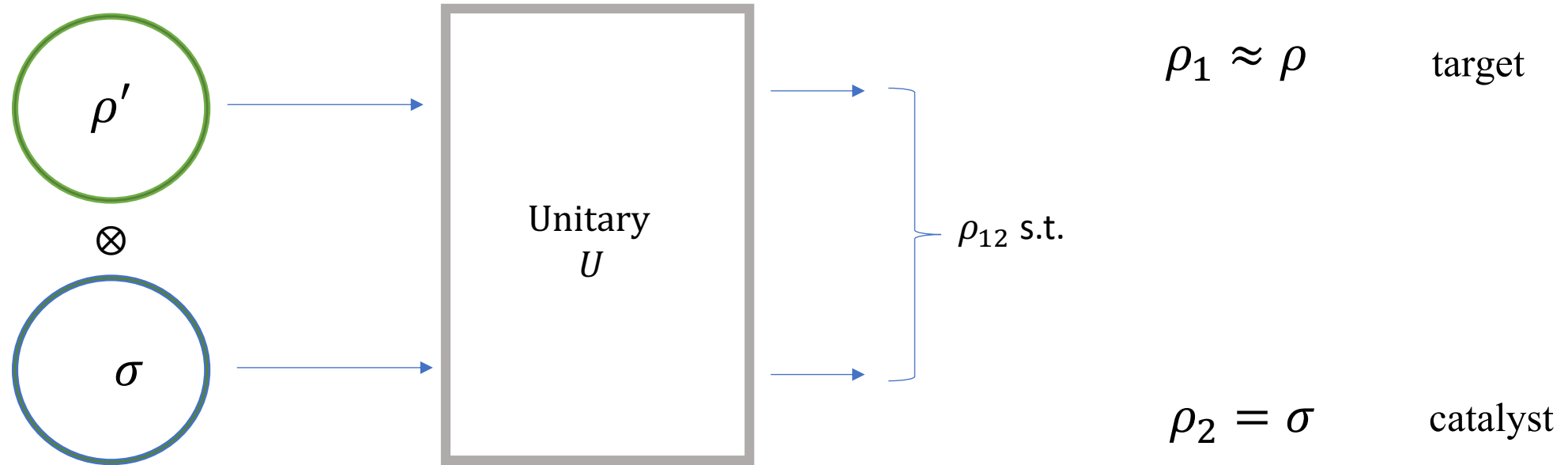


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semi algebraic (courtesy of Tarski-Seidenberg)

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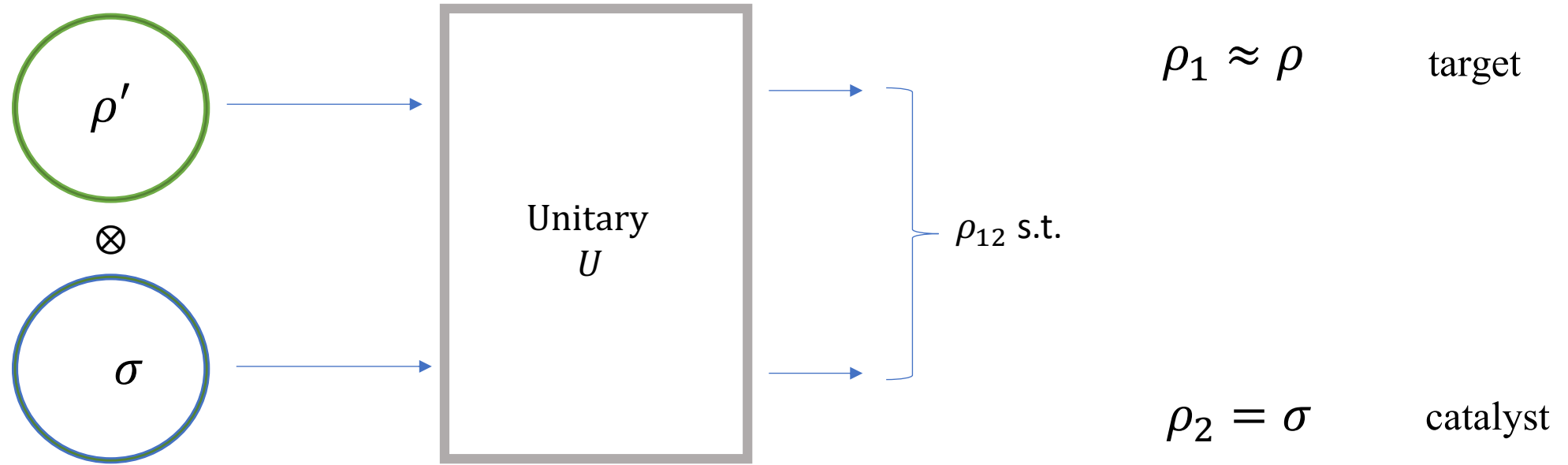


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transcendental?  $\Rightarrow \mathcal{S}_n \neq \mathcal{S}$

# Are entropy-constrained sets **transcendental**?

# Main result

A general criteria that discriminates **semialgebraic** sets from **non-semialgebraic** ones:

## Theorem 1

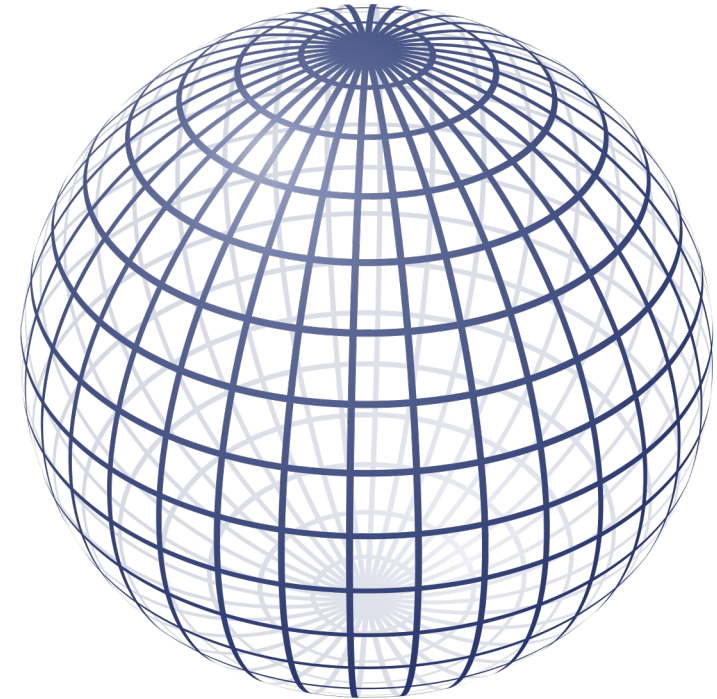
Let  $M$  be a **Nash submanifold** of  $\mathbb{R}^n$ , and  $P(x) \in \mathbb{R}^{n \times n}$  the **orthogonal projector** onto the normal space of  $M$  at  $x \in M$ . For any pair of **Nash maps**  $g : I \subseteq \mathbb{R} \rightarrow M$ ,  $I$  an open interval, and  $h : \mathbb{R}^{n \times n} \rightarrow \mathbb{R}$  define  $f := h \circ P \circ g : I \rightarrow \mathbb{R}$ . Then

- 1  $f$  is analytic and **algebraic** over  $\mathbb{R}$ ,
- 2 the global analytic function obtained from  $f$  by analytic continuation has a compact Riemann surface and, in particular, a finite number of branches.

# Are entropy-constrained sets **transcendental**?

For  $d = 2$ :

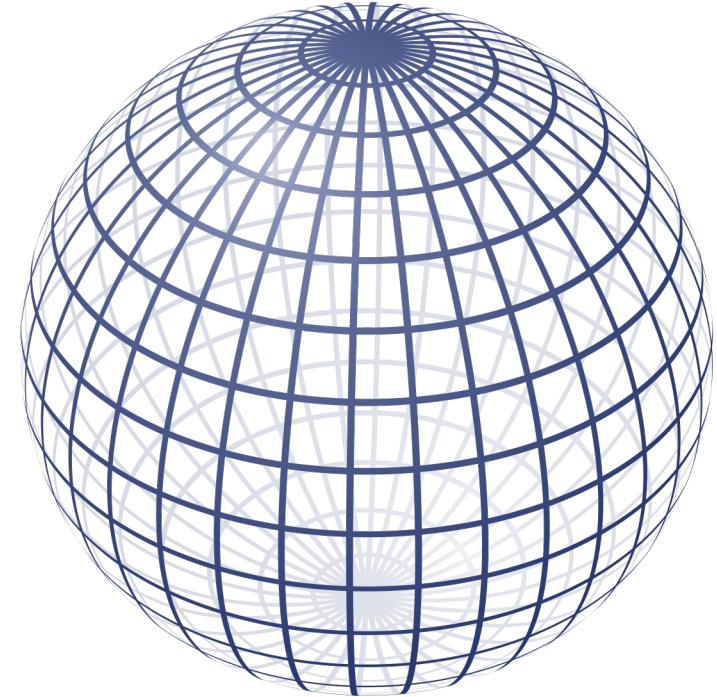
$$S = \{\rho \mid S(\rho) = c\} = \{\rho \mid \text{tr} [\rho^2] = \tilde{c}\}$$



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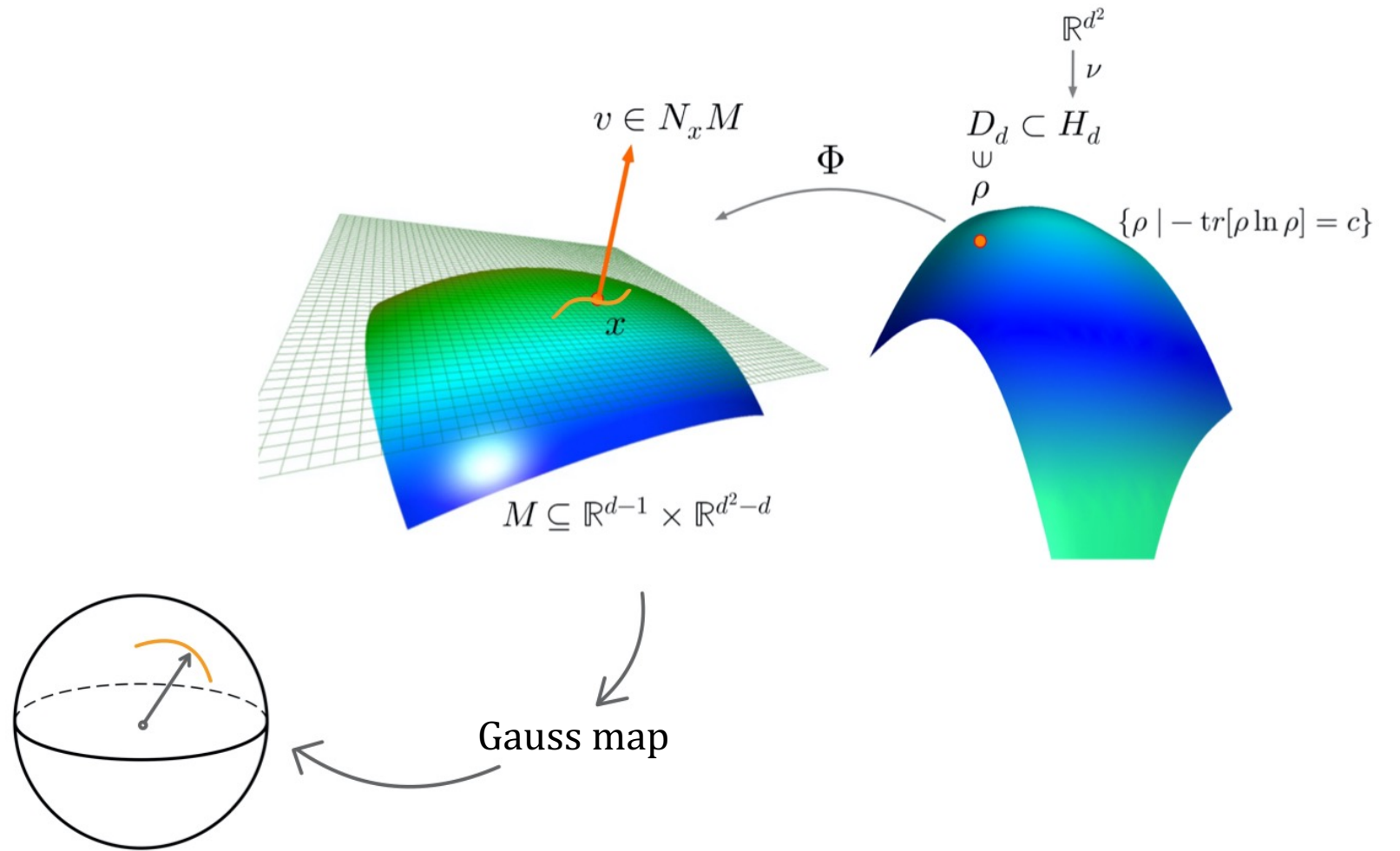
⇒ Entropy-constrained sets are **semialgebraic**



# Are entropy-constrained sets **transcendental**?

Yes, for  $d \geq 3$

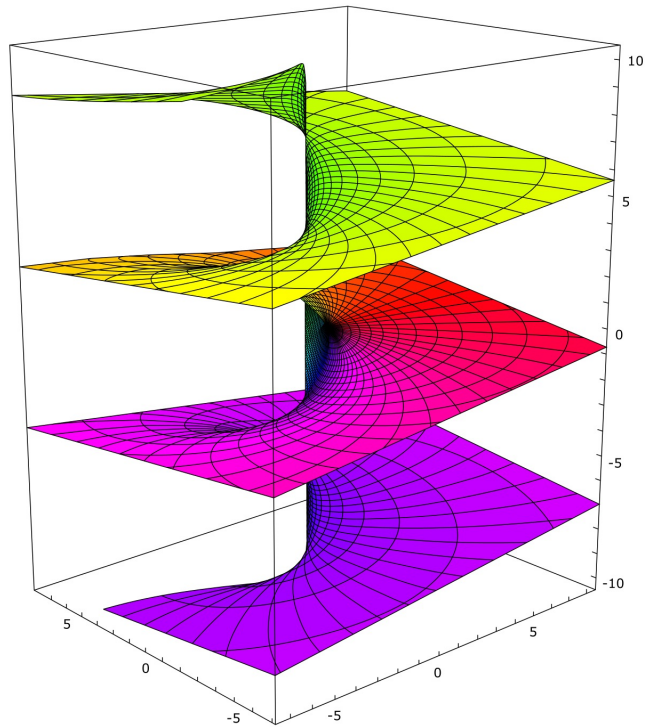
Proof idea:



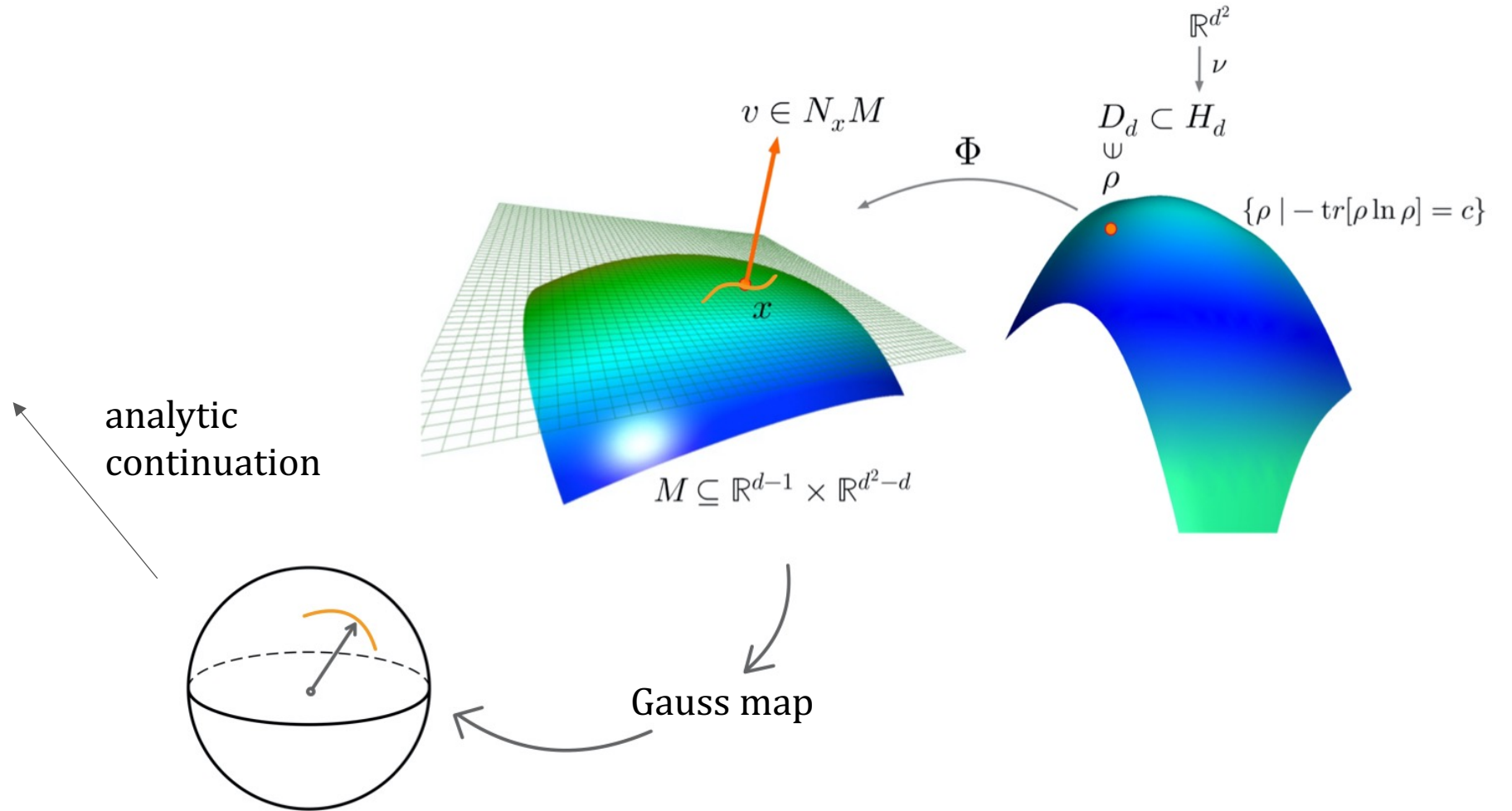
# Are entropy-constrained sets transcendental?

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Proof idea:



non compact  
Riemann surface



**Theorem 2:** For any  $d \geq 3$  and  $c \in (0, \ln d)$  the set of  $d \times d$  density operators whose von Neumann entropy is equal to  $c$  is **nowhere semialgebraic**. That is, if  $\mathcal{S} := \{\rho \in H_d \mid \rho \geq 0, \text{Tr}[\rho] = 1, -\text{Tr}[\rho \ln \rho] = c\}$ , then for any open set  $V \subset H_d$  the set  $\mathcal{S} \cap V$  is **not semialgebraic** unless it is empty.

$H_d \subseteq \mathbb{C}^{d \times d}$  denotes the space of Hermitian  $d \times d$  matrices.

### Remarks:

1. The same statement holds true for any other logarithmic base
2. The same result holds true for the inequalities " $< c$ ", " $\leq c$ ", " $> c$ " and " $\geq c$ "

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**Theorem 3:** For  $d \geq 3$ ,  $c_1 \in (0, \ln d)$ ,  $c_2 \in \mathbb{R}$  and  $h \in C^1(P_d, \mathbb{R})$  let  $\rho$  be an element of

$$\mathcal{S} := \{\rho \in P_d \mid \text{Tr}[\rho] = 1, -\text{Tr}[\rho \ln \rho] = c_1, h(\rho) = c_2\},$$

with  $[\rho, \nabla h(\rho)] \neq 0$ . Then  $\mathcal{S}$  is **not semialgebraic** in any neighborhood of  $\rho$ .

# Application of Theorem 3: **Transcendentality** of the level sets of the relative entropy

**Corollary 1:** For any  $c > 0$ ,  $d \geq 3$ , any positive definite density matrix  $\sigma \in H_d$  and any open subset  $U \subseteq H_d$  the set

$$\mathcal{R} := \{\rho \in D_d \mid S(\rho \parallel \sigma) = c\} \cap U$$

is **not semialgebraic** in  $H_d$  unless it is empty.


$$S(\rho \parallel \sigma) := \text{tr} [\rho \ln \rho] - \text{tr} [\rho \ln \sigma]$$



# Take away

- $\mathcal{S} \neq \mathcal{S}_n$
- Distinction between **single-shot/finite** and **asymptotic/infinite** often comes hand-in-hand with the separation **(semi)algebraic** vs **transcendental**
- Useful for **no-go** results (e.g. single-shot characterizations of information theoretic quantities)

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  - Useful for **no-go** results (e.g. single-shot characterizations of information theoretic quantities)
- variants of the presented arguments to also apply to many other entropic quantities that appear in classical and quantum information theory

Thank you!