

RESOURCE THEORY OF SUPERPOSITION

SUPERPOSITION STATES TRANSFORMATION & GOLDEN STATES

Gökhan Torun

joint works with Hüseyin T. Şenyavaş, and Ali Yildiz

- [G.Torun](#), H.T.Şenyavaş, and A.Yildiz, PRA 103, 032416 (2021)
- H.T.Şenyavaş and [G.Torun](#), PRA 105, 042410 (2022)

Beyond IID in Information Theory 10 — 27 September 2022

Ingredients	Coherence	Superposition
Basis States	$\{ k\rangle : k = 0, 1, \dots, d-1\}$ reference basis; complete and orthonormal	$\{ c_i\rangle : i = 0, 1, \dots, d-1\}$ normalized and linear independent; not necessarily orthogonal
Free States (\mathcal{F})	$\rho = \sum_k p_k k\rangle\langle k $ $p_k \in [0, 1]$ such that $\sum_k p_k = 1$	$\varrho = \sum_i \rho_i c_i\rangle\langle c_i $ $\rho_i \geq 0$, probability distribution
Resource States (\mathcal{R})	any state $\sigma \notin \mathcal{F}$	any state $\varsigma \notin \mathcal{F}$
Free Operations (\mathcal{O})	incoherent operation (IO); MIO, DIO, IO, SIO, PIO	superposition-free $(K_n \varrho K_n^\dagger \in \mathcal{F} \text{ for all } \varrho \in \mathcal{F})$

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$$|\psi\rangle = \sum_{i=0}^{d-1} \psi_i |c_i\rangle; \quad \left[\psi_i \in \mathbb{C}, G_{ij} = \langle c_i | c_j \rangle \in \mathbb{C}, \langle \psi | \psi \rangle = \sum_{ij=0}^{d-1} \psi_i^* G_{ij} \psi_j = 1 \right]$$

Superposition State Transformations

The Problem #1

$$|\psi\rangle = \sum_{i=1}^d \psi_i |c_i\rangle \xrightarrow{\mathcal{O}} |\varphi\rangle = \sum_{i=1}^d \varphi_i |c_i\rangle \quad ?$$

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Coherence transformation: $\sum_{k=1}^d \psi_k |k\rangle \xrightarrow{\mathcal{O}} \sum_{k=1}^d \phi_k |k\rangle$

Majorization: $\sum_{k=1}^r |\psi_k|^2 \leq \sum_{k=1}^r |\phi_k|^2$ for any $r \in [1, d]$

- $K_n = \sqrt{p_n} \sum_{k=1}^d \left(\frac{\varphi_{f_n(k)}}{\psi_k} \right) |f_n(k)\rangle \langle k|$; $\sum_{n=1}^d K_n^\dagger K_n = \mathbb{1}$
- $\sum_{n=1}^d p_n \varphi_{f_n(k)} = \psi_k$ ($k = 1, 2, \dots, d$)

◊ S.Du *et al.* PRA 91, 052120 (2015) ◊ G.Torun *et al.* PRA 97, 052331 (2018)

Superposition State Transformations

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$$|\psi\rangle = \sum_{i=1}^d \psi_i |c_i\rangle \xrightarrow{O} |\varphi\rangle = \sum_{i=1}^d \varphi_i |c_i\rangle \quad ?$$

Setting the approach:

$$K_n = \sum_{k=1}^d c_{k,n} \frac{|c_{f_n(k)}\rangle \langle c_k^\perp|}{\langle c_k^\perp | c_k \rangle}; \quad \left[c_{k,n} = \sqrt{p_n} \left(\frac{\varphi_{f_n(k)}}{\psi_k} \right), \langle c_i^\perp | c_j \rangle = \zeta_i \delta_{ij} \in \mathbb{C} \right]$$

The superposition-free operator K_n gives $|\varphi\rangle$ with the probability p_n :

$$\boxed{K_n |\psi\rangle} = \sqrt{p_n} \sum_{k=1}^d \varphi_{f_n(k)} |c_{f_n(k)}\rangle = \boxed{\sqrt{p_n} |\varphi\rangle} \quad (p_n \geq 0 \ \& \ \sum_n p_n = 1).$$

completeness: $\boxed{\sum_{n=1}^d K_n^\dagger K_n + \sum_{m=d+1}^{2d} F_m^\dagger F_m = \mathbb{1}} \quad (F_m |\psi\rangle = 0, \ \boxed{\sum_{n=1}^d K_n^\dagger K_n \leq \mathbb{1}}).$

Superposition State Transformations

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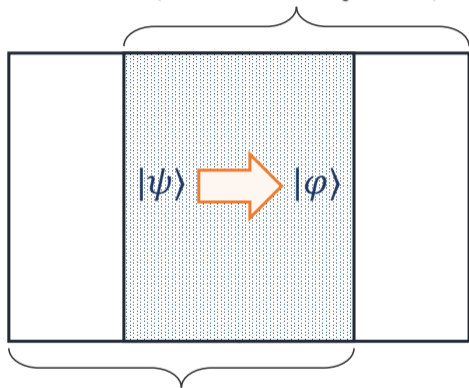
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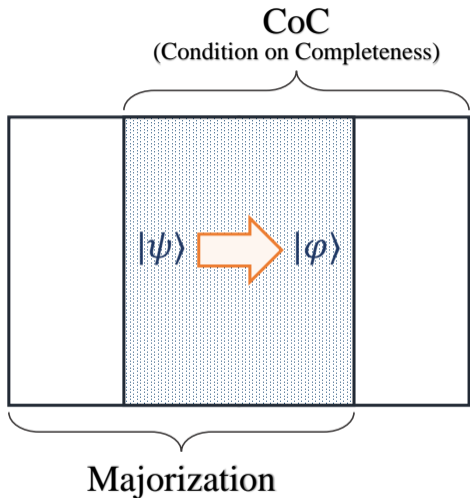
The quest for:

- Index functions $\{f_n(k)\}_{k=1}^d$
- Probabilities $\{p_n\}$
- Superposition-free Kraus operators $\{K_n\}$
- Conditions for (deterministic) superposition-free transformations

CoC
(Condition on Completeness)

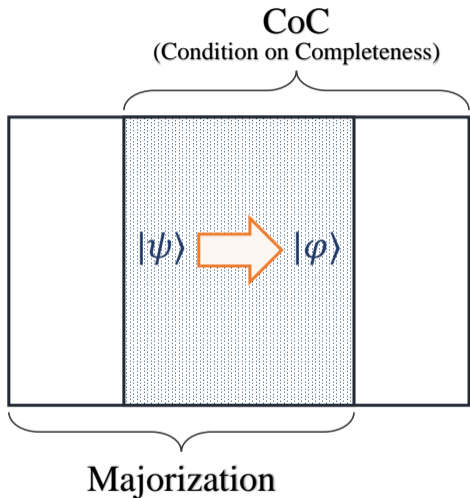


Majorization



Majorization: $\sum_{i=1}^r \tilde{\psi}_i \leq \sum_{i=1}^r \tilde{\varphi}_i$ for any $r \in [1, d]$

$$\tilde{z}_i := \sum_{j=1}^d z_i^* z_j \langle c_i | c_j \rangle, \quad i = 1, 2, \dots, d \quad (z \equiv \psi, \varphi)$$

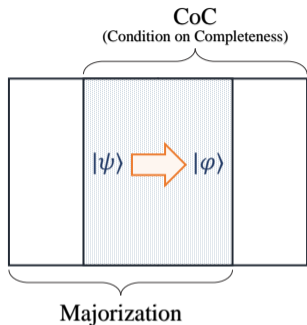


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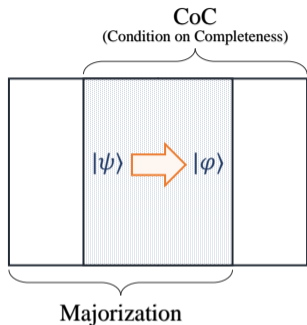
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CoC: $\sum_{i=1}^d p_i \omega_{ij} \leq \psi_j^2$ for $j = 2, 3, \dots, d$

$$\begin{pmatrix} \omega_{i1} \\ \vdots \\ \omega_{id} \end{pmatrix} = P_i \begin{pmatrix} \varphi_1 \\ \vdots \\ \varphi_d \end{pmatrix} \quad \text{and} \quad \sum_{n=1}^d p_n \tilde{\varphi}_{f_n(k)} = \tilde{\psi}_k \quad (k = 1, 2, \dots, d)$$



$ \psi\rangle \xrightarrow{\mathcal{O}} \phi\rangle$ with $\langle c_1 c_2\rangle = 1/2$	Maj.	CoC
$\frac{1}{\sqrt{13}}(3 c_1\rangle + c_2\rangle) \xrightarrow{\mathcal{O}} \frac{1}{\sqrt{13}}(4 c_1\rangle - c_2\rangle)$	✓	✗
$\frac{1}{\sqrt{21}}(4 c_1\rangle + c_2\rangle) \xrightarrow{\mathcal{O}} \frac{1}{\sqrt{7}}(3 c_1\rangle - c_2\rangle)$	✗	✓
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$$\left\{ K_1 = \sqrt{\frac{7p_1}{13}} \left(\frac{4\alpha_1}{3} |c_1\rangle\langle c_1^\perp| + \alpha_2 |c_2\rangle\langle c_2^\perp| \right); K_2 = -\sqrt{\frac{7p_2}{13}} \left(\frac{\alpha_1}{3} |c_2\rangle\langle c_1^\perp| + 4\alpha_2 |c_1\rangle\langle c_2^\perp| \right) \right\} \quad \boxed{p_1 = \frac{209}{210}, p_2 = \frac{1}{210}}$$

$$\left\{ F_3 = -\sqrt{\frac{11}{26}} \left(\frac{\alpha_1}{3} |c_1\rangle\langle c_1^\perp| + \alpha_2 |c_1\rangle\langle c_2^\perp| \right); F_4 = 0 \right\} \quad \boxed{\sum_{i=1}^2 K_i^\dagger K_i + F_3^\dagger F_3 = \mathbb{1}} \quad \boxed{\sum_{i=1}^2 K_i^\dagger K_i \leq \mathbb{1}} \quad (\alpha_i \equiv 1/\langle c_i^\perp|c_i\rangle).$$

Majorization: $\sum_{i=1}^r \tilde{\psi}_i \leq \sum_{i=1}^r \tilde{\varphi}_i$ for any $r \in [1, d]$

$$\tilde{z}_i := \sum_{j=1}^d z_i^* z_j \langle c_i | c_j \rangle, \quad i = 1, 2, \dots, d \quad (z \equiv \psi, \varphi)$$

CoC: $\sum_{i=1}^d p_i \omega_{ij} \leq \psi_j^2$ for $j = 2, 3, \dots, d$

$$\begin{pmatrix} \omega_{i1} \\ \omega_{i2} \\ \vdots \\ \omega_{id} \end{pmatrix} = P_i \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ \vdots \\ \varphi_d \end{pmatrix} \quad \text{and} \quad \sum_{n=1}^d p_n \tilde{\varphi}_{f_n(k)} = \tilde{\psi}_k \quad (k = 1, \dots, d)$$

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Orthonormal limit: $\langle c_i | c_j \rangle = 0, \{c_i\} \rightarrow \{|j\rangle\}_{j=1}^d$.

Majorization: $\sum_{j=1}^r |\psi_j|^2 \leq \sum_{j=1}^r |\varphi_j|^2$ for any $r \in [1, d]$

- $\{K_n = \sqrt{p_n} \sum_{k=1}^d (\frac{\varphi_{f_n(k)}}{\psi_k}) |f_n(k)\rangle \langle k|, F_m = 0\}$
- $\sum_{n=1}^d K_n^\dagger K_n = \mathbb{1}; \sum_{n=1}^d p_n \varphi_{f_n(k)} = \psi_k \quad (k = 1, \dots, d)$

Permutations $\{P_i\}$... Consider $d = 3$... Already $|\psi_1|^2 \leq |\varphi_1|^2$ and $|\psi_3|^2 \geq |\varphi_3|^2$... However, it is either $|\psi_2|^2 \leq |\varphi_2|^2$ or $|\psi_2|^2 \geq |\varphi_2|^2$.

- ◊ If $\psi_2 \leq \varphi_2$: $\{P_i\} = \{\mathbb{1}, |1\rangle \leftrightarrow |3\rangle, |2\rangle \leftrightarrow |3\rangle\}$.
- ◊ If $\psi_2 \geq \varphi_2$: $\{P_i\} = \{\mathbb{1}, |1\rangle \leftrightarrow |3\rangle, |1\rangle \leftrightarrow |2\rangle\}$.

◊ PRA 97, 052331 (2018)

The Problem #2

Coherence : $|\Phi_d\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^d |i\rangle$; Superposition : ?

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Let G be a Gram matrix that represents the inner product settings of superposition constructed by a set of linearly independent basis states $\{|c_i\rangle\}_{i=1}^d$, that is, $G_{ij} = \langle c_i | c_j \rangle$. Then a maximal state $\vec{\psi}$ is necessarily an eigenvector of G corresponding to the minimum eigenvalue λ_{\min} and the components of $\vec{\psi}$ must satisfy $\tilde{\psi}_i = 1/d$ for all $i = 1, 2, \dots, d$ — *Proposition 1*.

$$\left(\tilde{\psi}_i := \sum_{j=1}^d \psi_j^* \psi_j G_{ij} \text{ for } |\psi\rangle = \sum_{i=1}^d \psi_i |c_i\rangle \right)$$

Golden States — States with Maximal Superposition

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$$\left(\tilde{\psi}_i := \sum_{j=1}^d \psi_j^* \psi_j G_{ij} \text{ for } |\psi\rangle = \sum_{i=1}^d \psi_i |c_i\rangle \right)$$

Corollary. The form of the (candidate) maximal state dictated by **Proposition 1** is as follows

$$\vec{\psi} = \sqrt{\frac{1}{d\lambda_{\min}}} \left(e^{i\theta_1}, \dots, e^{i\theta_d} \right)^T.$$

$$|\psi\rangle = \sqrt{\frac{1}{d\lambda_{\min}}} \sum_{j=1}^d e^{i\theta_j} |c_j\rangle$$

Rayleigh quotient — also known as the Rayleigh-Ritz ratio — can be used to determine the upper and lower bounds of a quadratic equation under elliptic constraint. Let \vec{v} and \vec{u} be eigenvectors of a Gram matrix G corresponding to the minimum eigenvalue λ_{\min} and maximum eigenvalue λ_{\max} , respectively. Let \vec{x} be an arbitrary vector under elliptic constraint represented by $\vec{x}^\dagger G \vec{x} = 1$. Then, for these three vectors $\{\vec{v}, \vec{u}, \vec{x}\}$ the Rayleigh quotient guarantees

$$R(G, \vec{v}) = \lambda_{\min} \leq R(G, \vec{x}) \leq R(G, \vec{u}) = \lambda_{\max}, \text{ where } \left[R(G, \vec{x}) = \frac{\vec{x}^\dagger G \vec{x}}{\vec{x}^\dagger \vec{x}} \right]$$

Given an initial state $\vec{\psi} = (e^{i\theta_1} \psi_1, \dots, e^{i\theta_d} \psi_d)^\top$ and a final state $\vec{\phi} = (e^{i\gamma_1} \phi_1, \dots, e^{i\gamma_d} \phi_d)^\top$, where $\theta_j, \gamma_j \in [0, 2\pi)$ and $\psi_j, \phi_j \in \mathbb{R}^{\geq 0}$, we have $\tilde{K}_n \vec{\psi} = \sqrt{p_n} \vec{\phi}$, where the probabilities are chosen to be $p_n = 1/d!$ for $n = 1, 2, \dots, d!$.

$$\sum_{i=1}^d \psi_i^2 \geq \sum_{i=1}^d \phi_i^2$$

For a maximal state, the left-hand side has to take the maximum value so that it always holds for an arbitrary final state. By invoking the Rayleigh quotient, we conclude that a maximal state is necessarily an eigenvector of the Gram matrix corresponding to the minimum eigenvalue.

Proposition 2. Let G be a Gram matrix that represents the inner product settings of superposition constructed by a set of linearly independent basis states $\{|c_1\rangle, |c_2\rangle\}$. Then the eigenvector $\vec{\psi}$ corresponding to the minimum eigenvalue λ_{\min} is a maximal state.

.....
Consider the case $\langle c_1|c_2\rangle = s \in (-1, 1)$. The Gram matrix has two eigenvalues $\lambda_1 = 1 - s$ and $\lambda_2 = 1 + s$, where the corresponding eigenvectors are $\vec{x}_1 = (1, -1)^T$ and $\vec{x}_2 = (1, 1)^T$. Two different situations occur: $\lambda_{\min} = 1 - s$ when $s \in [0, 1)$ and $\lambda_{\min} = 1 + s$ when $s \in (-1, 0]$.

$$|\Psi_2^-\rangle = \frac{1}{\sqrt{2(1-s)}}(|c_1\rangle - |c_2\rangle) \text{ with } s \in [0, 1) \left(\tilde{\psi}_1 = \tilde{\psi}_2 = \frac{1}{2}\right)$$

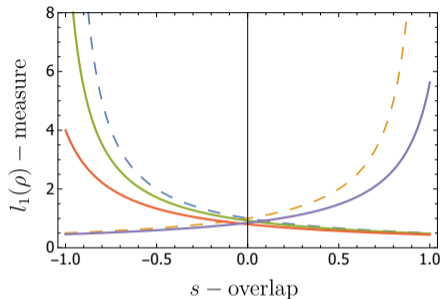
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The dashed orange line, $l_1(\rho_{|\Psi_2^-\rangle}) = 1/(1-s)$; and the dashed blue line, $l_1(\rho_{|\Psi_2^+\rangle}) = 1/(1+s)$.

Setting $\psi_1 \equiv x \neq 0$ and $\psi_0 \equiv \eta x$ [for qubit systems we always have $\psi_0/\psi_1 = \eta \in \mathbb{R} \setminus \{0\}$], we then have $|\psi\rangle = x(\eta|c_0\rangle + |c_1\rangle)$. The solid green line, $l_1(\rho_{|\psi\rangle})$ for $\eta = 3/2$; the solid red line, $l_1(\rho_{|\psi\rangle})$ for $\eta = 2$; and the solid purple line, $l_1(\rho_{|\psi\rangle})$ for $\eta = -9/5$.

Inner product settings: $\{s_{12}, s_{13}, s_{23}\}$	$\{\lambda_{\min}, \vec{x}\}$	Range of s
$\{s, s, s\}$	$\{1 + 2s, (1, 1, 1)^\top\}$	$s \in (-\frac{1}{2}, 0]$
$\{-s, s, s\}$	$\{1 - 2s, (1, 1, -1)^\top\}$	$s \in [0, \frac{1}{2})$
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$\{s, is, -is\}$	$\{1 - 2s, (-i, i, 1)^\top\}$	$s \in [0, \frac{1}{2})$

Consider $\langle c_1 | c_2 \rangle = -s$ and $\langle c_1 | c_3 \rangle = \langle c_2 | c_3 \rangle = s \dots$
 Then, $\det(G) = 1 - 3s^2 - 2s^3 > 0$ implying $s \in (-1, \frac{1}{2}) \dots$

$$\lambda_1 = \lambda_2 = 1 + s, \quad \lambda_3 = 1 - 2s;$$

$$\vec{x}_1 = (1, 0, -1)^\top, \quad \vec{x}_2 = (1, -1, 0)^\top, \quad \vec{x}_3 = (1, 1, -1)^\top.$$

$$|\Psi_3^-\rangle = \frac{1}{\sqrt{3(1-2s)}} \left(|c_1\rangle + |c_2\rangle - |c_3\rangle \right), \quad s \in [0, \frac{1}{2}).$$

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$$\lambda_1 = \lambda_2 = 1 + s, \quad \lambda_3 = 1 - 2s;$$

$$\vec{x}_1 = (1, 0, -1)^\top, \quad \vec{x}_2 = (1, -1, 0)^\top, \quad \vec{x}_3 = (1, 1, -1)^\top.$$

$$|\Psi_3^-\rangle = \frac{1}{\sqrt{3(1-2s)}} (|c_1\rangle + |c_2\rangle - |c_3\rangle), \quad s \in [0, \frac{1}{2}).$$

Proposition 3. The state

$$|\Psi_d^+\rangle := \frac{1}{\sqrt{d(1+(d-1)s)}} \sum_{j=1}^d |c_j\rangle, \quad s \in (\frac{1}{1-d}, 0],$$

is a maximal state for an equal and real inner product setting, that is, $\langle c_i | c_j \rangle = s$, $s \in \mathbb{R}$, for $i \neq j$.

- $\{|c_i\rangle : i = 0, 1, \dots, d-1\}$
- Gram matrix S with the elements $S_{ij} = \langle c_i | c_j \rangle$
- $\{|l_i\rangle : i = 0, 1, \dots, d-1\}$ — Löwdin basis
- $\mathbf{C} := (c_0, c_1, \dots, c_{d-1})^\top$, $\mathbf{L} := (l_0, l_1, \dots, l_{d-1})^\top$
- A general linear transformation T such that
$$\mathbf{L} = T\mathbf{C}, \quad (TT^\dagger = S^{-1})$$
- Which can be rewritten as

$$(\mathbf{L})_i = \sum_{j=0}^{d-1} (S^{-1/2})_{ij} (\mathbf{C})_j \quad \boxed{|l_i\rangle = \sum_{j=0}^{d-1} (S^{-1/2})_{ij} |c_j\rangle}$$

$$[S_{\text{diag}} = U^\dagger S U; S^{1/2} = U S_{\text{diag}}^{1/2} U^\dagger; S^{-1/2} = (S^{1/2})^{-1}]$$

“Löwdin symmetric orthogonalization”

◊ P.Löwdin, J. Chem. Phys. 18, 365 (1950).

◊ J.G.Aiken, J.A.Erdos, and J.A.Goldstein, Int. J. Quantum Chem. 18, 1101 (1980).

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superposition state \rightleftharpoons coherent state

- $S_{ij} = \langle c_i | c_j \rangle = s \in (-1, 1)$
- Eigenvalues of S are found to be

$$\lambda_0 = \dots = \lambda_{d-2} = 1 - s \text{ and } \lambda_{d-1} = 1 + (d-1)s$$
- Setting

$$\mu := \frac{1}{d} \left[\frac{1}{\sqrt{1 + (d-1)s}} + \frac{d-1}{\sqrt{1-s}} \right]$$

and

$$\kappa := \frac{1}{d} \left[\frac{1}{\sqrt{1 + (d-1)s}} - \frac{1}{\sqrt{1-s}} \right]$$

- We get $|l_i\rangle = \mu |c_i\rangle + \kappa \sum_{\substack{j=0 \\ (j \neq i)}}^{d-1} |c_j\rangle \quad (i = 0, \dots, d-1)$

The symmetric orthogonalization ensures

$$\sum_i \|c_i - l_i\|^2 = \min.$$

superposition state \leftrightarrow coherent state

$$|\psi\rangle = \sum_{i=0}^{d-1} \psi_i |c_i\rangle \xrightarrow{\text{LSO}^{\rightarrow}} |\bar{\psi}\rangle = \sum_{i=0}^{d-1} g_i(\psi, S) |l_i\rangle$$

$$|\bar{\psi}\rangle = \sum_{i=0}^{d-1} g_i(\psi, S) |l_i\rangle \xrightarrow{\text{LSO}^{\leftarrow}} |\psi\rangle = \sum_{i=0}^{d-1} \psi_i |c_i\rangle$$

$$g_i(\psi, S) = \sqrt{\lambda_{d-1} \lambda_0} \left[\left(\mu + [d-2]\kappa \right) \psi_i - \kappa \sum_{\substack{j=0 \\ (j \neq i)}}^{d-1} \psi_j \right]$$

$$\left(\psi_i \equiv \mu g_i(\psi, S) + \kappa \sum_{\substack{j=0 \\ (j \neq i)}}^{d-1} g_j(\psi, S) \quad (i = 0, 1, \dots, d-1) \right)$$

superposition state \Leftrightarrow coherent state

$$|\psi\rangle = \sum_{i=0}^{d-1} \psi_i |c_i\rangle \xrightarrow{\text{LSO}^{\rightarrow}} |\bar{\psi}\rangle = \sum_{i=0}^{d-1} g_i(\psi, S) |l_i\rangle$$

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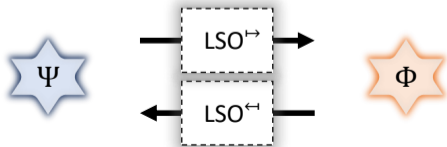
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maximally coherent state \Leftrightarrow state with maximal superposition

$$\frac{1}{\sqrt{2}} (|l_0\rangle + |l_1\rangle) \xrightarrow{\text{LSO}^{\leftarrow}} |\Psi_2^+\rangle = \frac{|c_0\rangle + |c_1\rangle}{\sqrt{2(1+s)}}; s \in (-1, 0]$$

$$\frac{1}{\sqrt{2}} (|l_0\rangle - |l_1\rangle) \xrightarrow{\text{LSO}^{\leftarrow}} |\Psi_2^-\rangle = \frac{|c_0\rangle - |c_1\rangle}{\sqrt{2(1-s)}}; s \in [0, 1)$$

$$|\Phi_d\rangle = \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |l_i\rangle \xrightarrow{\text{LSO}^{\leftarrow}} |\Psi_d^+\rangle = \frac{|c_0\rangle + \dots + |c_{d-1}\rangle}{\sqrt{d(1+[d-1]s)}}$$



To conclude ...

- The basics of the resource theory of superposition ✓
- An explicit framework for the (deterministic) transformation of superposition states ✓
- States with maximal superposition, i.e., golden states for superposition ✓
- Results related with Löwdin symmetric orthogonalization promise the possibility of more ✓

- Mixed state transformations ?
- Superposition distillation ?
- Catalytic superposition transformations ?
- Effective role of Löwdin symmetric orthogonalization ?

Thanks for your time!

